

SHEET 1 OF 3

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-003

APPLICANT(S): Gillies et al.

SERIAL NO.: 09/256,156

FILING DATE: February 24, 1999 GROUP: 1647

-			U.S.	PATENT D	OCUME	ENTS				
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME			CLASS	SUB CLASS		NG DATE IF ROPRIATE
RKH	A95	5,601,819	2/11/97	Wong et al.						
	A96	5,624,821	4/29/97	Winter et al.						
	A97	5,679,543	10/21/97	Lawlis						
	A98	5,891,680	4/6/99	Lieschke et	al.					
	A99	5,994,104	11/30/99	Anderson et	al.					
	A100	6,429,199	8/6/02	Krieg et al.						
	A101	6,551,592	4/22/03	Lindhofer e	al.					
	A102	6,646,113	11/11/03	Dreyfuss et	al.					
	A103	2002/0037558	3/28/02	Lo et al.						
	A104	2002/0146388	10/10/02	Gillies						
	A105	2003/0139365	7/24/03	Lo et al.						
	A106	2003/0139575	7/24/03	Gillies						
	A107	2004/0033210	2/19/04	Gillies						
	A108	2004/0053366	3/18/04	Lo et al.						
	A109	2004/0072299	4/15/04	Gillies et al.						
RKH	A110	2004/0082039	4/29/04	Gillies et al.						
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RKH	B106	WO 93/20185	10/14/93	PCT						
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FORM PTO - 1449

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EXAM. INIT.	ОТНЕ	R DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)					
RKH	C236	Batra et al., (1993), "Insertion of Constant Region Domains of Human IgG1 into CD4-PE40 Increases Its Plasma Half-Life," Molecular Immunology, 30(4):379-386.					
<u> </u>	C237	Chapman et al., (1994), "Mapping Effector Functions of a Monoclonal Antibody to GD3 by Characterizatio of a Mouse-Human Chimeric Antibody," Cancer Immuno. Immunother., 39:198-204.					
\top	C238	Cruse et al., (eds.), (1995), Illustrated Dictionary of Immunology, p.158, CRC Press, NY.					
·	C239	de la Salle et al., (1996), "FeγR on Human Dendritic Cells," in <u>Human IgG Receptors</u> , pp. 39-55, van de Winkel et al. (eds.), R.G. Landes Co.					
	C240	Dorai et al., (1991), "Aglycosylated Chimeric Mouse/Human IgG1 Antibody Retains Some Effector Function," Hybridoma, 10(2):211-217.					
	C241	Dorai et al., (1992), "Role of Inter-Heavy and Light Chain Disulfide Bonds in the Effector Functions of Human IgG1," Molecular Immunology, 29(12):1487-1491.					
	C242	Elliott et al., (1996), "Fine-Structure Epitope Mapping of Antierythropoietin Monoclonal Antibodies Reveals a Model of Recombinant Human Erythropoietin Structure," <u>Blood</u> , 87(7):2702-2713.					
	C243	Gillies et al., (1991), "Targeting Human Cytotoxic T Lymphocytes to Kill Heterologous Epidermal Growth Factor Receptor-Bearing Tumor Cells: Tumor-Infiltrating Lymphocyte/Hormone Receptor/Recombinant Antibody," J. Immunology, 146(3):1067-1071.					
	C244	Handgretinger et al., (1995), "A Phase I Study of Human/Mouse Chimeric Anti-ganglioside GD2 Antibody ch14.18 in Patients with Neuroblastoma," <u>European J. Cancer</u> , 31A(2):261-267.					
	C245	Harvill et al., (1995), "An IgG3-IL2 Fusion Protein Activates Complement, Binds FcγRJ, Generates LAK Activity and Shows Enhanced Binding to the High Affinity IL-2R," Immunotechnology, 1:95-105.					
	C246	Harvill et al., (1996), "In Vivo Properties of an IgG3-IL-2 Fusion Protein: A General Strategy for Immune Potentiation," J. Immunology, 157(7):3165-3170.					
	C247	Hurn et al., (1980), "Production of Reagent Antibodies," Methods in Enzymology, 70: 104-142.					
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	C249	Lo et al., (1992), "Expression and Secretion of an Assembled Tetrameric CH2-deleted Antibody in E. Coli., Hum. Antibod. Hybridomas, 3:123-128.					
RKH	C250	Maecker et al., (1997), "DNA Vaccination with Cytokine Fusion Constructs Biases the Immune Response t Ovalbumin," <u>Vaccine</u> , 15(15):1687-1696.					
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EXAM. INIT.	отне	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
RKH	C251 Mueller et al., (1990), "Enhancement of Antibody-Dependent Cytotoxicity With A Chimeric Antibody," J. Immunology, 144(4):1382-1386									
	C252	Mueller et al., (1990), "Serum Half-Life and Tumor Localization of a Chimeric Antibody Deleted of the CH2 Domain and Directed Against the Disialoganglioside GD2," <u>Proc. Natl. Acad. Sci. USA.</u> , 87:5702-5705.								
	C253	Naramura et al., (1993), "Therapeutic Potential of Chimeric and Murine Anti-(Epidermal Growth Factor Receptor) Antibodies in a Metastasis Model for Human Melanoma," <u>Cancer Immuno. Immunother.</u> , 37:343-349.								
	C254	Reisfeld et al., (1994), "Potential of Genetically Engineered Anti-Ganglioside GD2 Antibodies for Cancer Immunotherapy," Prog. Brain Res., 101:201-212								
	C255	Saleh et al., (1992), "Phase I Trial of the Chimeric Anti-GD2 Monoclonal Antibody ch14.18 in Patients With Malignant Melanoma," <u>Hum. Antibod. Hybridomas</u> , 3:19-24.								
	C256	Sallusto et al., (1994), "Efficient Presentation of Soluble Antigen by Cultured Human Dendritic Cells Is Maintained by Granulocyte/Macrophage Colony-stimulating Factor Plus Interleukin 4 and Downregulated by Tumor Necrosis Factor α," J. Exp. Med., 179:1109-1118.								
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R		A55	4,703,008	10/27/87	Lin	ļ <u> </u>	_		
/		A56	5,019,368	5/28/91	Epstein et al.				
<		A57	5,441,868	8/15/95	Lin				
	1	-A58	5,457,038	10/10/95	Trinchieri et al.				
7	/	A59	5,547,933	8/20/96	Lin			<u> </u>	
7		A60	5,618,698	4/8/97	Lin				
7		-A61	5,645,835	7/8/97	Fell, Jr. et al.				
7		A62	5,688,679	11/18/97	Powell				
	-	A63	5,756,349	5/26/98	Lin				
	/	A64	5,807,715	9/15/98	Morrison et al.				
		A65	5,856,298	1/5/99	Strickland				
		A66	5,888,772	3/30/99	Okasinski et al.				
		A67	5,922,685	7/13/99	Rakhmilevich et al.				
		A68	5,955,422	9/21/99	Lin				
	1	A69	6,231,536	5/15/01	Lentz				
	1	A70	6,284,536	9/4/01	Morrison et al.				
	7	A71	6,335,176	1/1/02	Inglese et al.				
	7	A72	6,340,742	1/22/02	Burg et al.				
	F	A73	6,348,192	2/19/02	Chan et al.				
		A74	6,444,792	9/3/02	Gray et al.				
	1	A75	6,475,717	11/5/02	Enssle et al.				
	_	A76	6,485,726	11/26/02	Blumberg et al.				
_		A77	6,506,405	1/14/03	Desai et al.				
		A78	6,583,272	6/24/03	Bailon				
		A79	6,586,398	7/1/03	Kinstler et al.				
₽ĸ	打	A80	6,617,135	9/9/03	Gillies et al.				

Sheet 2 of 9 ATTORNEY DOCKET NO.: LEX-003 **FORM PTO - 1449** SECOND SUPPLEMENTAL INFORMATION APPLICANT(S): Gillies et al. DISCLOSURE STATEMENT SERIAL NO.: 09/256,156 PADPU.S. PATENT DOCUMENTS FILING DATE: February 24, 1999 GROUP: 1647 CLASS SUB FILING DATE IF DOCUMENT EXAM. **CLASS** APPROPRIATE INIT. NUMBER 12/20/01 Lauffer et al. 2001/0053539 A81 6/27/02 Lo et al. A82 2002/0081664 Gallo et al. 2002/0142374 10/3/02 A83 A84 2002/0147311 10/10/02 Gillies et al. 12/19/02 Blumberg et al. 2002/0192222 A85 2002/0193570 12/19/02 Gillies et al. A86 2003/0003529 1/2/03 Вауег A87 3/6/03 Gillies et al. 2003/0044423 A88 3/13/03 Gillies et al. A89 2003/0049227 6/5/03 Gillies et al. A90 2003/0105294 1/6/03 Blumberg et al. A91 2003/0012789 8/21/03 Gillies et al. A92 2003/0157054 9/4/03 Gillies 2003/0166163 A93 9/4/03 Gillies et al. 2003/0166877 A94 FOREIGN PATENT DOCUMENTS **ENGLISH** COUNTRY SUB FILING ABSTRACT **EXAM** DOCUMENT DATE CLASS CODE DATE ONLY LANG INIT. NUMBER **CLASS** (Y/N) Υ 6/7/89 EP B83 0318554B1 Υ 3/1/95 EP **B84** 0 640 619 A1 **B85** 0 668 353 A1 8/23/95 EP Y B86 1 088 888 A1 4/4/01 EP Y **B87** WO 94/24160 10/27/94 **PCT** Υ **B88** WO 94/25055 11/10/94 **PCT** Y WO 99/60128 **PCT** Υ B89 11/25/99 B90 WO 99/66054 12/23/99 PCT Y

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$\overline{\mathcal{V}}$		B92	WO 00/68376	11/16/00	PCT					Y
		B93	WO 00/78334	12/28/00	PCT					Y
		B94	WO 01/10912	2/15/01	PCT					Υ
	/,	. B95	WO 01/36489	5/25/01	PCT					Y
_	/	B96	WO 01/58957	8/16/01	PCT					
	ار ا	B97	WO 02/02143	1/10/02	PCT					
	7	B98	WO 02/066514	8/29/02	PCT					Υ
		B99	WO 02/072605	9/19/02	PCT					
	V	B100	WO 02/079232	10/10/02	PCT					
	\setminus	B101	WO 02/079415	10/10/02	PCT					
		B102	WO 02/090566	11/14/02	PCT					
	/	B103	WO 03/015697	2/27/03	PCT					Y
	1	B104	WO 03/048334	6/12/03	PCT			_		
7 3X	1	B105	WO 03/077834	9/25/03	PCT					Y
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R	H	C143	Afonso et al., (1994) Science, 263:235-23		ant Effect	of Interleukin-	-12 in a V	accine Aga	inst Leishn	nania Major,"
	1	C144	Angal et al., (1993), Mouse/Human (IgG	-				-	geneity of C	himeric
,	1	C145	Becker et al., (1996) Induction of a Cellu							ogeneity by
,		C146	Becker et al., (1996) Therapy," <u>J Clin Inv</u>	_			r Immunit	y in Mice a	after Target	ed Interleukin-2
		C147	Becker et al., (1996) Interleukin-2 Therap				rine Meta	static Mela	noma Induc	ed by Targeted
\mathbb{R}^{i}	H	C148	Bissery et al., (1997) 175-193.	, "The Taxoi	ds," in <u>Can</u>	ncer Therapeut	ics: Expe	rimental an	d Clinical	Agents, Teicher, ed.,
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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ATTORNEY DOCKET NO.: LEX-003

APPLICANT(S): Gillies et al.

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FILING DATE: February 24, 1999 GROUP: 1647

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				"PATEN	I DOCUMENT						
1						FILING DATE IF APPROPRIATE					
RKÍ	1	C149		Sitonti et al., (2002), "Transepithelial Absorption of an Erythropoietin-Fc Fusion Protein After Delivery to the Central Airways," Respiratory Drug Delivery, 8:309-312.							
7	1	C150	Boissel et al., (1993), " Tertiary Structure," The			•		hat Test a Model of			
7		C151	Briggs et al., (1974), "I- Physiology, 227(6):138	•	f Intact and desialyla	ated Erythropoie	tin," <u>Ameri</u>	can Journal of			
-		C152	Buchli et al., (1993), "S Archives of Biochemist		- •	Iuman Aspartic	Acid-126 In	terleukin-2 Analog,'			
		C153	Caton et al., (1986), "St Antigenic Region on th		· ·						
1		C154	Chang et al., (1996), "A Biological Chemistry, 2			Iters Ligand Inte	rnalization,	" <u>Journal of</u>			
1	/	C155	Chuang et al., (1993), " Human Lymphocytes,"			col on Oncolytic	Activity an	d Stimulation of			
		C156	Chuang et al., (1994), " Anticancer Drug Taxol			Assembly, Cyto	toxicity, an	d Activation by the			
7		C157	Collins et al., (1988), "170-kDa Subunit (p70) o	-				-			
	7	C158	Cruse et al., (1995), Illu	istrated Dictionary	of Immunology, CR	C Press, NY, p. 1	56-7.				
/		C159	Darling et al., (2002), " Electrostatic Interaction			Receptor Bindi	ng Kinetics	: Role of			
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/		·C161	Dolman et al., (1998), " Immunodeficient Mice	Suppression of Hur by Interleukin 2 Im	nan Prostate Carcino munocytokine Thera	oma Metastases apy," <u>Clin Cance</u>	in Severe C er Res., 4(10	Combined 0):2551-7.			
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FORM PTO - 1449 ATTORNEY DOCKET NO.: LEX-003 SECOND SUPPLEMENTAL INFORMA APPLICANT(S): Gillies et al. DISCLOSURE STATEMENT SERIAL NO.: 09/256,156 **U.S. PATENT DOCUMENTS** EXAM. DOCUMENT DATE NAME INIT. NUMBER C166 BHK-21 Cells," Blood, 85(5):1229-1236. C167 C168 Fusion Proteins," Clin. Diagn. Lab. Immunol., 6(2):236-42. C169 C170 C171 C172 Natl. Acad. Sci. USA, 72(12):4923-4927.

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SE	COND	SUPP	LEMENTAL INFO	MARION	APPLICANT(S): Gillies et al.					
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		C184		•	rsis of Human Interleuki of Biological Chemistry			Amino Acid		
		C185	Kato et al., (1997), "Mof Pharmacology and		nlinear Pharmacokinetic eutics, 283:520-527.	s of Erythr	opoictin in	Rats," The Journal		
!	7	C186	Kato et al., (1998), "P Disposition, 26:126-1		rythopoietin in Genetica	illy Anemio	Mice," <u>Dr</u>	ug Metabolism and		
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		C192	Lode et al., (2000), "V	hat to do with Targe	eted IL-2," <u>Drugs Today</u> , 36(5):321-36.					
	/	C193	Lode et al., (2000), "N by CD40/CD40L Inter		erapy by Targeted II-2 D st., 105(11):1623-30.	epends on	CD4(+) T-0	cell Help Mediated		
		C194	Macdougall, (2002), " Considerations," Nept		f Erythropoietic Agents , 17:66-70.	—Pharmac	okinetic an	d Pharmacodynamic		
	C195 Metelitsa et al., (2002), "Antidisialoganglioside/granulocyte Macrophage-colony-stimulating Factor Ffusion Protein Facilitates Neutrophil Antibody-dependent Cellular Cytotoxicity and Depends on FcγRII (CD32) and Mac-1 (CD11b/CD18) for Enhanced Effector Cell Adhesion and Azurophil Granule Exocytosis," Blood, 99(11):4166-73.							FcyRII (CD32) and		
		C196	Mott et al., (1995), "T 247:979-994.	he Solution Structure	of the F42A Mutant of	Human Inte	erleukin 2,"	J. Mol. Biol.,		
RI	KH KH	C197			VCAM-specific Monoc Binding to Porcine End					
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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
RKH	C198			nges in Fibrosarcoma-in Immunother, 45:20-28.		nune Cell F	unction: Modulation	
	C199	Mullins et al., (1998), Immunopharmacol. In		comes Paclitaxel-media 473-492.	ited Suppre	ssion of T-0	cell Proliferation,"	
	C200			Cytotoxicity Mediated by mmunology Letters, 39	•	binant Anti	body-IL2 Fusion	
7	C201			astomas Express Increas unotherapy," <u>Cancer Im</u>				
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: LEX-003

APPLICANT(S): Gillies et al.

			LEMENTAL IN	FORMATION	APPLICANT(S): Gillies et a	d.	
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